

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

Please type a plus sign (+) inside this box → +

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="margin: 0;">TRANSMITTAL FORM</h1> <p style="font-size: small; margin: 5px 0;">(to be used for all correspondence after initial filing)</p> <div style="border: 2px solid black; border-radius: 50%; width: 150px; height: 150px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"><div style="transform: rotate(-45deg); font-size: 24px; font-weight: bold;">COPY</div><div style="text-align: center;">JUL 24 2003</div></div>	Application Number	09/649,215
	Filing Date	August 28, 2000
	First Named Inventor	Allan Lamkin
	Group Art Unit	2124
	Examiner Name	Tuan A. Vu
Attorney Docket Number	68570	
Total Number of Pages in This Submission 7*		

RECEIVED

JUL 25 2003

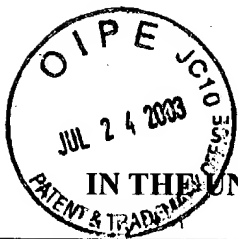
Technology Center 2100

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard to Applicant's Attorney Copies of cited patents and publications
Remarks		* Exclusive of patent and publication copies

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FITCH, EVEN, TABIN & FLANNERY by Thomas F. Lebens (Reg. No. 38,221)
Signature	
Date	JULY 21, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313 on July 21, 2003 this date:			
Type or printed name	Thomas F. Lebens		
Signature		Date	July 21, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Arlington, VA 22313.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lamkin, Allan

Appl. No.: 09/649,215

Filed: 08/28/2000

Title: SOFTWARE ENGINE FOR
COMBINING VIDEO OR AUDIO
CONTENT WITH
PROGRAMMATIC CONENT

Group Art Unit: 2124

Examiner: Vu, Tuan A.

RECEIVED

JUL 25 2003

Technology Center 2100

Attorney Docket No.: 68570

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
 - ☒ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

**THIS MAILED IDS IS BEING SUBMITTED CONCURRENTLY
WITH AN ADDITIONAL ELECTRONIC IDS CONTAINING
THE FEE OF \$180.00 SUBMITTED UNDER 37 CFR 1.17(p). AS
SUCH, NO FEE IS REQUIRED IN THIS SUBMISSION FILED
ON THE SAME DATE, JULY 21, 2003.**

- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Forms PTO/SB/08A and PTO/SB/08B-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The items listed on PTO/SB/08A and PTO/SB/08B are either in the English language or an English translation is provided, therefore, no further explanation is required, with the exception of the following non-English reference. A concise explanation, as presently understood, is as follows:

DE 4242992 (Esselte Metro) teaches a theft protection device that uses at least one security element (4, 5, 6) directly embedded in the compact disc material or attached to the compact disc (1) as an integral part which is not removable. A security system is activated upon attempted removal of the compact disc via a postal detection system.

The security element may be embedded directly in the packaging of the compact disc or in the compact disc label. Pref., the security element is made of a soft magnetic material, e.g. a series, of soft magnetic strips which are angled relative to one another.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

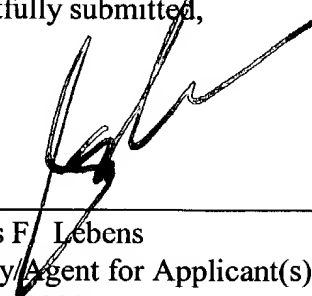
Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

Date of Deposit: July 21, 2003

Typed Name: Thomas F. Lebens

Signature: _____

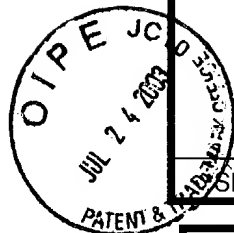


Thomas F. Lebens
Attorney/Agent for Applicant(s)
Reg. No. 38221

Date: July 21, 2003

Telephone No.: 805-781-2865

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/649,215
				Filing Date	08/28/2000
				First Named Inventor	Allan Lamkin
				Art Unit	2124
				Examiner Name	Tuan A. Vu
Sheet	1	of	4	Attorney Docket Number	68570

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-RE35,839	07/07/1998	Asai, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		EP 0 372 716 A2	06/1990	Minnesota Mining		
		EP 0 809 244 A2	11/1997	Fujitsu Ltd.		
		EP 0 809 244 A3	12/1998	Fujitsu Ltd.		
		EP 0 849 734 A2	06/1998	Texas Instruments		
		EP 0 849 734 A3	03/1999	Texas Instruments		
		EP 0 853 315 A3	12/1999	Victor Company		
		WO 99/51031	10/1999	Intel Corporation		
		WO 99/14678	03/1999	WebTv Networks, Inc.		
		WO 00/16229	03/2000	Preview Systems		
		DE 42 42 992 A1	06/1994	Esselte Metro		
		JP 11039262 A2	02/1999	Fujitsu Ltd.		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"Interactive Education: Transitioning CD-ROMs to the Web", by Michael Mascha and Gary Seaman, Department of Anthropology, University of Southern California, Los Angeles, California, USA, Computer Networks and ISDN Systems 27 (1994) 267-272.
		ADVANCED TELEVISION ENHANCEMENT FORUM SPECIFICATION (ATVEF), Comment Draft Version 1.0r1, 1-20 copy of printout from web site http://www.atvef.com/atvef_spec/TVE-public.htm (October 18, 1998).
		MBONE Multicasting Tomorrow's Internet, Request for Comments (RFC) 1112 and 1458, Appendices A and B, Copyright 1996, 1998.
		Abstract of "MBone Provides Audio and Video Across the Internet," M. R. Macedonia and D. P. Brutzman, IEEE Computer, Vol. 27, No. 4, pp. 30 - 36 (April 1994).
		Family Tree Maker Announces 5.0 Patch, by Rick Roberts, The Global Gazette, http://globalgazette.net/gaztec/gaztec15.htm , posted 9/27/98, Vol. II, No. 13.

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Commissioner for Patents, Arlington, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 4

Complete if Known

Application Number	09/649,215
Filing Date	08/28/2000
First Named Inventor	Allan Lamkin
Art Unit	2124
Examiner Name	Tuan A. Vu
Attorney Docket Number	68570

RECEIVED

JUL 25 2003

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite, No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"When Signed, Sealed, Delivered, It's Yours," by Precision Marketing, p30(1), July 21, 1997
		http://www.spinware.net/portanet/portanet.html
		Abstract of Edgar Weippl, "An Approach to Role-Based Access Control for Digital Content," 2001, IEEE, pages 290 - 294.
		Henry M. Gladney, "Safeguarding Digital Library Contents and Users: Document Access Control," Dlib Magazine, http://www.dlib.org/dlib/june97/ibm/06gladney.html , June 1997
		"All power to the Web; CD-ROM is dead - or is it?" by Richard Reisman, President, Teleshuttle Corporation, January 1996 Teleshuttle white paper on Distributed Media, http://www.teleshuttle.com/tsdistrib.htm
		"CyberMedia Secures Major Licensing Agreement With Sony for Oil Change Software," http://www.cypbermedia.com/company/pr/sony.html , printed November 26, 2001.
		MarketScape's WebCD Pro Publisher, Ron Gustavson, Emedia Professional, December 1997, http://www.emdialive.com/awards/award11.html
		"Hybrid CD-ROM/Online Titles Will Surge in 1996," DCD Business, April 1996, http://www.tapediscbusiness.com/issues/1996/0496/apr_index.html
		"Trends Online: Intel's Internet Plans: Hybrid Applications", By Andy Grove, PC Magazine, July 24, 1996.
		"Internet tool triggers audio CDs," by Cate C. Corcoran, July 24, 1995, http://www.access.ch/power/infoservices/MacWeek/MacWeek240795.html
		"MarketScape(R) WebCD(TM) 1.0 Bypasses Internet Bottlenecks," DialogWeb, August 26, 1996, http://www.dialogweb.com .
		"Microsoft Expands Benefits for Site Builder Network Members," Microsoft Press Releases, San Jose, CA, Oct. 29, 1996, http://www.spindia.com/trisoftdesign/Press/SBN/SBNtwkpr.htm
		"CD-ROM/Online Hybrids, The Missing Link?", by Richard R. Reisman, CD-ROM Professional, Vol. 8, No. 4, April 1995, http://www.teleshuttle.com/cdpart.htm

Technology Center 2100

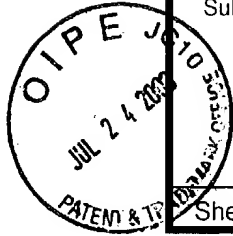
Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Commissioner for Patents, Arlington, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)

Sheet 3 of 4

Complete if Known

Application Number	09/649,215
Filing Date	08/28/2000
First Named Inventor	Allan Lamkin
Group Art Unit	2124
Examiner Name	Tuan A. Vu
Attorney Docket Number	68570

RECEIVED

JUL 25 2003

Technology Center 2100

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite, No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Netstuff postings; 9/2 - 9/6/1996, 8/26 - 8/30/1996, 8/19 - 8/23/1996, 8/12 - 8/16/1996, edited by James Careless with files from the Newsbytes News Network, http://www.monitor.ca/monitor/issues/vol4iss1/netstuff.html	
		"Oil Change software hunts down upgrades," by Bary Cooper, The Orlando Sentinel, Web-Posted October 30, 1996, The Augusta Chronicle Online, http://www.augustachronicle.com/headlines/103196/oil_change.html	
		Player 2.0 Product Description, Copyright 1995 - 2000, InterActual Technologies	
		Resource Guide on Distributed Media: Local/CD-ROM/Online/Web, updated November 25, 1996, Richard R. Reisman, President, Teleshuttle, http://www.teleshuttle.com/resource	
		"Topic is Veritabily Everywhere: CD-ROM, the Web, Intranets, etc.," Seybold Report on Desktop Publishing, Vol. 10, No. 7, Copyright 1996 by Seybold Publications Inc.,	
		"Spin Webs around a CD-ROM: The Next Generation of CD/Web Hybrids," Richard R. Reisman, President, Teleshuttle Corporation, Mass High Tech, September 2, 1996, http://www.teleshuttle.com/nextgen.htm	
		"Update Your Software While You Sleep," Edited by Paul M. Eng, Bits & Bytes, BusinessWeek, McGraw-Hill, Updated June 17, 1996, http://www.businessweek.com/1996/25/b3480140.htm .	
		"Verity smoothes Net searches," by CNET News.com Staff, Tech News - CNET.com, February 20, 1996.	
		"Web/CD-ROM hybrids: A working definition," http://www.philb.com/webcd.htm .	
		Who's Who Hybrid Internet/CD-ROM Magazine/Catalog, Google cache printed 11/26/01, http://www.ecatcorp.com/alpha/mci.htm .	
		WebCD, Internet Posting, Roger Clarke, 12/1/1996, http://www.anu.edu.au/people/Roger.Clarke/II/WebCD.html	
		Re: WebCD, Internet Positing, Ron Ipsen, 12/1/1996, http://www.anu.edu.au/mail-archives/link/link9612/0006.html	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Arlington, VA 22313-1450.

Substitute for form 1449B/PTO

(use as many sheets as necessary)

Sheet	4	of	4
-------	---	----	---

Complete if Known

Application Number	09/649,215
--------------------	------------

Filing Date	08/28/2000
--------------------	------------

First Named Inventor	Allan Lamkin
----------------------	--------------

Group Art Unit	2124
----------------	------

Examiner Name	Tuan A. Vu
---------------	------------

Attorney Docket Number	68570
------------------------	-------

RECEIVED

~~JUL 25 2003~~

Technology Center 2100

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Commissioner for Patents, Arlington, VA 22313-1450.